

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application No.: 10/555,729

Applicant: Nie et al.

Filed: November 7, 2005

TC/AU: 1755

Examiner: Unassigned

Docket No.: 239659 (Client Reference No. 0215-US)

Customer No.: 23460

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT AND
SUBMISSION OF SUPPLEMENTAL APPLICATION DATA SHEET**

Dear Sir:

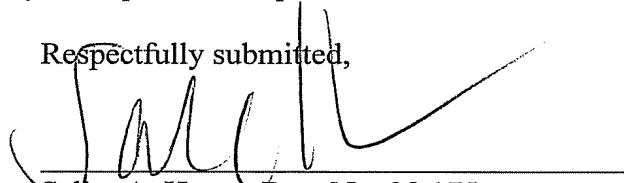
Applicants respectfully request that a corrected version of the Official Filing Receipt be issued for the above-identified patent application.

Under "Applicant(s)," the address of the second inventor which reads "Citrus Heights, CA," should read, "Sacramento, CA."

Please enter this correction as noted on the enclosed marked-up copy of the Official Filing Receipt. A "Supplemental Application Data Sheet" is filed concurrently with this document, which recites the correct address of the inventor.

It is believed that there is no charge for this request. However, the Commissioner is hereby authorized to charge any fee that may be required to Deposit Account No. 12-1216.

Respectfully submitted,



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Date: March 30, 2007



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/555,729	12/21/2006	1755	615	239659	26	4

CONFIRMATION NO. 2003

23460

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FILING RECEIPT



OC000000022410795

Date Mailed: 03/05/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shuming Nie, Atlanta, GA;
 Robert E. Bailey, ~~Citrus Heights, CA~~
 Sacramento, CA

Assignment For Published Patent Application

Indiana University Research and Technology Corporation, Indianapolis, IN

Power of Attorney: The patent practitioners associated with Customer Number 23460.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/13119 04/28/2004
 which claims benefit of 60/468,729 05/07/2003

LEYDIG, VOIT & MAYER
 RECEIVED

MAR 12 2007

Foreign Applications

PAY/TM Due Date _____

If Required, Foreign Filing License Granted: 02/08/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/555,729**

Projected Publication Date: 05/17/2007

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Alloyed semiconductor quantum dots and concentration-gradient alloyed quantum dots, series comprising the same and methods related thereto

Preliminary Class

252

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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